

SEMI ANNUAL SOCIAL SAFEGUARD MONITORING REPORT

Loan Number: 2528/2834 IND

Reporting Period: (Jan-June 2014)

**INDIA: North Eastern Region Capital Cities
Development Investment Program**

Project City: Kohima, Nagaland State

Implementing Agency: State Investment Program Management and Implementation Unit

Executing Agency: Urban Development Department, Government of Nagaland

July2014

Kohima

ABBREVIATIONS

ADB	- Asian Development Bank
BTA	- Bridging Technical Assistance
CC	- Construction Contractor
DOC	- Date of Completion
DOS	- Date of Start
DSMC	- Design, Supervision and Management Consultant
EA	- Executing Agency
EARP	- Environmental Assessment & Review Procedure
EIA	- Environmental Impact Assessment
EO	- Environmental Officer
Gol	- Government of India
IA	- Implementing Agency
IEE	- Initial Environmental Examination
IPCC	- Investment Program Coordination Cell
MFF	- Multi-tranche Financing Facility
MLD	- Million Liters per Day
mn	- Million
MoUD	- Ministry of Urban Development
NEA	- National Executing Agency
NERCCDIP	- North Eastern Region Capital Cities Development Investment Program
NSC	- National level steering committee
PD	- Project Director
PPTA	- Project Preparatory Technical Assistance
SEA	- State level Executing Agency
SIPMIU	- State Investment Program Management and Implementation Unit
UDD	- Urban Development Department

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1 Introduction

1. The Government of India has obtained a loan from the Asian Development Bank towards financing the North Eastern Region Capital Cities Development Investment Program. The loan is under the Multi Tranche Financing Facility of the Asian Development Bank to improve certain prioritized urban infrastructures mainly in the sectors of water supply, solid waste management and sanitation in 5 North Eastern Region Capital Cities namely, Agartala, Aizwal, Gangtok, Kohima and Shillong. The Program will (i) improve the urban infrastructure (ii) strengthen the urban institutions towards better service delivery, project management and implementation.
2. The NERCCDIP is estimated to be completed in a span of 6 years. The program in Kohima envisages investments in three projects corresponding to three loan tranches of ADB. The State Investment Program Management & Implementation Unit is the implementing agency at State level. M/s CDM Smith India Private Limited (Formerly Wilbur Smith Associates) has been engaged as the Design, Construction Supervision & Management Consultant (DSMC) for this city.
3. Two subprojects are taken up under tranche-1, one in the sector of Water Supply (WS) and another in Solid Waste Management (SWM), are now under implementation in Kohima. The water supply sub project is for the refurbishment of the existing Kohima Water Supply Scheme. The solid waste management sub project focuses in developing a new solid waste management site in the outskirts of Kohima at Lerie.
4. Two subprojects are planned under Tranche-2. The major one is the civil work for providing a new underground water supply distribution pipe system for a total length of 318 km. The contract agreement has been executed in February 2013 and the trenching & laying of pipes was started in January, 2014 but due to poor mobilization, the Contractor could not achieve the targeted progress of 15.5% by the end of the reporting period (30th June, 2014). Instead, the progress is limited to 5%. As part of streamlining the progress of the work, a stop notice was issued to the contractor on 30th June, 2014 and called for an urgent review meeting. The meeting is scheduled to be conducted on 7th July, 2014 at SIPMIU. The other subproject of Tranche-2 is for the installation of 21 bulk water meters & 6000 metered connections in the new distribution system. As the works can be started only after the completion of laying the pipe line network, the bidding has been postponed.
5. This is the consolidated report on implementation of Social Safeguard Activities for the period from January to June 2014 and prepared in compliance with the ADB's Safeguard Policy Statement (SPS 2009).

2 Implementation Arrangements

6. The Ministry of Urban Development (MoUD) is the national-level executing agency (EA) of the program. An IPCC is also established in MoUD incorporating a Social Safeguard Officer. A National Steering Committee (NSC) will monitor the fund use and overall implementation performance of the Investment Program. In each State there will be a State Steering Committee (SSC), an Investment Program Empowered Committee (IPEC) and a State Investment Program Monitoring and Implementation Unit (SIPMIU). The SIPMIU is responsible for overall program implementation, monitoring and supervision in the respective cities.
7. The SIPMIU will have a Social Safeguards Unit headed by a sociologist. Depending on the anticipated volume of resettlement and other related issues, a Resettlement Officer & supporting NGOs may be required as part of the Unit. ROs/and NGOs will

be responsible for RP implementation. However, in small projects where resettlement issues are not anticipated or minimal, an extensive staffing in the SSU is not essential. In case any resettlement issues arise during the subsequent stages of implementation, the services of competent personnel/agencies like RO/NGO shall be engaged. The generalized institutional roles of the involved entities are identified in Table 1 as a guide line. The Social Safeguards Unit under SIPMIU will take care of redressing grievances and mitigating negative social impacts caused due to the project with the aid of the existing system and shall request the Program Director for further reinforcement of support staff/NGOs if required.

Table 1. Institutional Roles

SI no:	Activities	Agency Responsible
Sub-project Initiation Stage		
1	Finalization of sites/alignments for sub-projects	SIPMIU&DSMC
2	Disclosure of proposed land acquisition and sub-project details by issuing public notice	SIPMIU
3	Meetings at community/ house hold level with APs of land/property	SSU/&NGO
4	Formation of Valuation Committees	SIPMIU
RP Preparation Stage		
5	Conducting Census of all APs	SSU/&NGO
6	Conducting FGDs/ meetings / workshops during SIA surveys	SSU/&NGO
7	Computation of Replacement Values of land/properties proposed for acquisition and for associated assets	SSU&DSMC
8	Categorization of APs for finalizing entitlements	SSU
9	Formulating compensation and rehabilitation measures	SIPMIU
10	Conducting discussions/ meetings with all APs and other stake holders	SIPMIU/&NGO
11	Fixing compensation for land/property with title holders	VC/SIPMIU
12	Finalizing entitlements and rehabilitation packages	SIPMIU
13	Disclosure of final entitlements and rehabilitation packages	SIPMIU/&NGO
14	Approval of RP	IPCC/ADB
15	Sale deed execution and payment of compensation	SIPMIU
16	Taking possession of land	SIPMIU
RP Implementation Stage		
17	Implementation of proposed rehabilitation measures	SSU/&NGO
18	Consultations with APs during rehabilitation activities	SSU/&NGO
19	Grievances redressal	SSU/&NGO/GRC
20	Internal monitoring	SIPMIU
21	External monitoring	External Agency

SSU= Social Safeguards Unit AP=affected person, VC=valuation committee, FGD=focus group discussions, GRC = grievance redress committee, NGO = nongovernment organization, SIPMIU = state-level investment program management and implementation unit, IPCC = investment program coordination cell, RO = resettlement officer, RP=resettlement plan, SIA=social impact assessment.

3 Sub Project Components in Kohima Municipal Council Area

8. Subproject components taken up/planned under various tranches in Kohima Municipal Council is summarized in Table.2

Table 2.Sub projects & components

SI No	Name of the subproject	Tranche	Components
1	Refurbishment of Kohima Water Supply Scheme	1	<ul style="list-style-type: none"> • Construction of 18 new RCC reservoirs • Rehabilitation of the water treatment plant at Jotsoma • Supply & installation of two electro-chlorinators in the water treatment plant
2	Civil Works and Procurement of Equipment for Sanitary Land Fill, Compost Plant and Approach Road at Lerie	1	<ul style="list-style-type: none"> • Construction of a 2 km long approach road. • Construction of 50 tons/day capacity compost plant • Development of a sanitary land fill site of 8000 sqm area • Formation of 16000 sqm land for future land fills • Installation of a leachate treatment plant to handle leachate @ 75000 lt/day • Construction of support facilities like <ul style="list-style-type: none"> i. Internal roads ii. Dedicated electrical substation with generator back up iii. Service buildings like administrative block, garages for primary & secondary vehicles, toilet block, security house, weigh bridge control room etc iv. Electrification of the entire buildings and yard lighting of the site iv. Water supply facilities to all buildings including firefighting facilities v. Green belt & landscaping the entire site Development of a new solid waste management site at Lerie vi. Retaining wall to protect the land slips and cross drainages (additional works)
3	Supplying and laying of distribution network of 318 km in Kohima Municipal Council area	2	<ul style="list-style-type: none"> • Providing a new water supply pipe line distribution network of total length of 318 km
4	Supply & installation of 21 bulk water meters and 6000	2	<ul style="list-style-type: none"> • Procurement & installation of 21 bulk water meters at the out lets of distribution tanks, main storage

SI No	Name of the subproject	Tranche	Components
	metered connections		<p>tank at the water treatment plant and other nodal points</p> <ul style="list-style-type: none"> • Procurement & installation of 6000 domestic water meters and changing over the existing consumer connections from the bunch system to the underground loop system which will be installed under subproject-3 above
5	Dzukou Bulk Water Supply Scheme for Kohima	3	<p>i) Intake structure at Dzukou stream ii) Tunnel of 4.10m diameter and 4715m length using Tunnel Boring Machine(TBM). iii) 400mm diameter MS raw water gravity main up to stilling tank OR Alternatively, instead of the components (ii)&(iii), developing an HDD bore and installing a pipe line of internal diameter 400 mm inside the bore. iv) Raw water stilling tank of capacity 0.80 ml v) 400mm DI raw water gravity main up to WTP vi) Water treatment plant of capacity 20mld vii) Approach road from NH to Potato farm 3.50 km OR Alternatively developing an approach of 6.20 km with smoother gradient for transporting the TBM and other accessories. viii) Staff quarters, camp house etc at the WTP campus ix) Power line (1km) to WTP & 200kVA transformer x) 400 mm MS clear water gravity line 10845 m xi) Main storage reservoir of capacity 0.80 ml xii) Feeder main network (32.50km) to zonal reservoirs.</p>
6	Construction of 5 community toilet blocks.	3	<ul style="list-style-type: none"> • Construction of 5 toilet blocks with a total seating of 25 Indian Closets, 7 seat of urinal and 10 numbers of washbasins • Providing electricity, water supply and sanitation arrangements. • Providing boundary walls & gates for the toilet block sites
7	Procurement of vehicle & other	3	<ul style="list-style-type: none"> •

SI No	Name of the subproject	Tranche	Components
	materials of SWM and WS		
8	Construction of 7 service reservoirs for 9 hydraulic zone	3	Locations 1. Near Polytechnic 2. Near KMC Office 3. North Police Station 4. Paramedical 5. Lower Forest 6. Botanical Garden 7. South Police Station

xiii) Social Safeguard Activities for Tranche -1&2 Sub projects

4.1. Introduction

9. In order to identify the Resettlement Impacts, if any, due to the subproject implementation, the SIPMIU officials & the DSMC experts have carried out sufficient site visits and consultative inspections in the project areas during the preparation of RP. Based on this and further discussions held with the local public in the city, it has been confirmed that the implementation of the above subprojects would not have any Involuntary Resettlement (IR) impact at any stage. Hence all the sub projects of tranche-1&2 with respect to Involuntary Resettlement impact would be under 'Category C'. However, periodic site visits and discussion with local public will be continuing during the project implementation to confirm that no resettlement issues remain unnoticed or unaddressed.

4.2. Scope of Impact

4.2.1. Tranche -1 Sub Projects

10. **Solid Waste Management:** Permanent land acquisition is not envisaged in both the sub projects taken up under tranche -1. The development of Solid Waste Management Site at Lerie is confined in the land owned by the Kohima Municipal Corporation. The work is in progress and as per the revised program finalized along with the ADB's support office officials (Guwahati) and the civil contractor, in June 2013. All works are now re-targeted to be completed by December, 2014.
11. As the Lerie (SWM) site is surrounded by agricultural lands, three households those who are having agricultural land nearby the SWM site encroached to the area earmarked for SWM (away from construction site as well as green belt area) and constructed cattle shed and shelter for caretakers. This was noticed in the month of September, 2013 and a meeting was arranged by resettlement specialist of DSMC immediately (on 21st September, 2013) to discuss the issues with encroachers. During that meeting they had agreed to vacate the land based on the request of SIPMIU (details of the meeting was illustrated in the semiannual report prepared for the period from July to December, 2013). However this issue was remained unsettled for long period, district administration was informed by SIPMIU to intervene in the issue and now it is in the process. District Collector (DC) has inspected the site in the month of June, 2014 and consultations with the encroachers are in progress.
12. **Water Supply:** 3 tanks out of 18 are commissioned and another 6 are ready for commissioning. The construction work of 8 tanks and the refurbishment of the old water treatment plant at Jotsoma including installation of two new electro chlorinators are in progress. The construction of the remaining 1 tank (Assam Rifles HQ) is yet to

be started and the work shall be mobilized under Tranche-3 due to technical reasons.

4.2.2. Tranche -2 Sub Projects

13. **Laying of new water supply distribution network** of pipe lines of total length of 318 km in Kohima Municipal Area is the major work planned under water supply sub project of tranche-2. The civil works are proposed to be carried out within the existing RoW and road shoulders. Therefore, no land acquisition is envisaged in this sub project. Digging along the existing right-of ways (RoW) of city roads for laying of these lines will have no impacts as the proposed pipelines will be laid on the edge of the road. The maximum required width during laying down of different sizes of pipe lines range between 30cm to 100 cm only and can be accommodated in the road shoulders. At locations where there are permanent structures blocking the alignment of pipe lines, suitable measures to deviate these structures by using appropriate pipe bends are provided at the stage of pipe line design itself. Care is also taken to minimize adverse impacts by selection of appropriate alignment for the pipe lines. The trenching, pipe laying, jointing & pressure testing works etc inside the city will be done in a phased manner & fast pace to minimize the period of disruption. The details of the works have been communicated to the State PWD who is maintaining the PWD& Municipal roads in Kohima.
14. Advance notices (based on the construction plan developed by the civil contractor) to the residents of the area will be given 7 days before to the commencement of works in the respective locality. The community organizers mobilized in SIPMIU under Social Development Officer shall undertake the activities of construction facilitation with the guidance of Community Development and Construction Facilitation expert of DSMC. The COs shall be trained periodically. Sufficient provision is also made in the contract agreement for the repair of roads, providing temporary access across the pipe line trenches (wherever necessary), repair of existing water pipe lines, cables, electric posts, dust prevention, soil erosion and all other similar menaces.
15. The joint site visits carried out by the Resettlement Specialist of DSMC along with water supply design engineers did not identify any IR impact and the presence of IPs. However, in case of any change in locations and scope of work, IR screening and RPs (if required) shall be prepared and disclosed in ADB & EA web sites.
16. Since Nagaland Government is not maintaining any land records, disputes on ROW shall be settled through discussions during the time of project implementation, the service of Community Organizers (appointed under SIPMIU for community participation) shall be utilized for these activities. Social Safeguard Expert of SIPMIU, Resettlement Specialist and Construction Facilitation and Public Awareness Experts of DSMC will play a leading role in addressing the people's concerns during the project implementation.

4.2.3. Tranche -3 Sub Projects

17. **Dzukou Bulk Water Supply Scheme for Kohima:** According to the detailed project design, total requirement of land for this sub project is 6.22 hectares, out of which 3.58 hectares shall be taken on lease to be returned after the construction of civil works. In order to assess the adverse social impact of the project a joint site inspection and census and baseline socio economic survey was carried out by Resettlement Specialist of DSMC, Resettlement Officer of SIPMIU and Design Engineers of DSMC during the period from 7th January, 2014 to 5th February, 2014. Based on the above said assessment this project is classified as category 'B' in accordance with ADB's Safeguard Policy Statement (SPS), 2009. ADB's SPS covers both temporary and permanent impacts to both titled and non-titled persons, and

includes both physical and economic displacement. RP was also prepared and submitted along with DPR.

18. **Construction of 5 community toilet blocks:** The lands earmarked for the two locations are belonging to Kohima Municipal Council (KMC) and one location belongs to the PHED, Government of Nagaland. The remaining two belong to three private individuals. However, all the three land owners have voluntarily surrendered the land required for the project. The base line (Involuntary Resettlement Screening) survey conducted on 15-02-2014, shows that all the lands earmarked for construction of community toilet block are uninhabited and barren. Based on the above assessments the subproject has been categorized as “C” for Involuntary Resettlement (IR) impact as per the ADB’s Safeguard Policy Statement, 2009. Hence no Resettlement Plan (RP) needs to be prepared for this sub project at this stage.
19. **Construction of 7 service reservoirs:** Under this subproject, 7 service reservoirs are proposed for 9 hydraulic zones (with multiple compartments at two locations). The lands earmarked for relocating the 6 storage tanks (out of 7) are in possession of the government departments and consents from the departments have been obtained. The 7th land belongs to private individual. The land owner has voluntarily surrendered the land for the project. All the lands are uninhabited. This subproject will not entail any private land acquisition and involuntary resettlement. Based on the above assessments the subproject has been categorized as “C” for Involuntary Resettlement (IR) impact as per the ADB’s Safeguard Policy Statement, 2009. Hence no Resettlement Plan (RP) needs to be prepared for this sub project at this stage.

4.3. Status of RP implementation

20. As discussed above, the subprojects taken up under tranche 1 & 2 do not impart any sort of IR and IP impacts and hence the status of RP implementation is not relevant here. However the subproject wise IR impact status is summarized in table.3 below. As part of sensitizing the importance and reviewing the status of safeguard compliance during the subproject implementation periodic meetings have been conducted at construction sites, details are summarized in table 4. The project managers and site engineers of civil contractors and safeguard specialists of SIPMIU and DSMC along with technical staff were attended the work shop and clarified their doubts.

Table 3. Status of civil construction and RP implementation in tranche-1 sub projects

SI No	Subproject sector & corresponding Tranche	Activities	Status of RP Implementation
1	Water Supply (Tranche-1)	<ul style="list-style-type: none"> Construction of 18 new RCC Reservoirs Renovation of water the treatment Plant at Jotsoma Supply & installation of chlorinators 	No IR Impact
2	Solid Waste Management(Tranche-1)	<ul style="list-style-type: none"> Development of a new solid waste management site with sanitary landfill, leachate treatment plant and all other essential infrastructure at Lerie 	No IR Impact
3	Water Supply(Tranche-2)	<ul style="list-style-type: none"> New water supply distribution pipe line network of total length 318 km in Kohima Municipal Area. 	No IR Impact. However corrective plan shall be prepared

SI No	Subproject sector & corresponding Tranche	Activities	Status of RP Implementation
			if any issues are identified during the project implementation

4.4. Activities carried out for Public Participation and GAP

21. Various activities conducted in the sector of Community Development, Public Participation and Gender Development during the period from 1st January, 2014 to 30th June, 2014 is summarized in below table (table 4) and snap shots of the meetings/FGDs are shown in the appendix -2. Further the progress of Gender Action Plan till the end of June 2014 is briefed under Appendix-3 of this report.

Table 4: Summary of Community Development, Public Participation and Gender Sensitization Programs conducted during the period from 1st January to 30th June, 2014 in Kohima

SI.No	Date	Name of the Activity	Venue	Objective	Outcome	Participation	
						Male	Female
1	29/01/14	Consultation with community members regarding the water supply.	Near Phony farm	To create consensus on Alignment of the proposed water supply	Got community consensus on Alignment of the proposed water supply	8	1
2	03/02/14	Consultation with community members regarding the water supply.	Kigwema Panchayat Hall	Gather support for Dzukou water supply scheme	Community welcomed the project	10	2
3	04/04/14	Meeting at construction site with labors	SWM Site	Sensitize the labours and site engineers of contractor on Occupational Health & Safety	Sensitization of labour	10	2
4	04/04/14	Meeting at construction site with labors	SWM Site	Discussion on gender issues and welfare of female workers.	Got sensitized on gender safety issues	3	3
5	04/04/14	Meeting with Contractor	SWM Site	Sensitized on social and environmental safeguards	Got sensitized	15	2
6	04/04/14	Orientation and sensitization of KMC officials on Gender issues and OHAS	KMC Office	Sensitize the official on safeguard compliance	Got sensitized	3	1
7	05/04/14	Meeting with Director social welfare, Govt. of Nagaland	Office of the Director social welfare	Collecting secondary data and utilizing their services for sensitizing the people on SWM and sanitation.	Collecting data on female workers of ICDS	3	2
8	19/05/14	Meeting with Principal Baptist High School, Kohima	Baptist High, Mission compound Kohima	Develop the communication strategy involving students on WATSAN and SWM	Documented the entry behavior of the students of different income groups.	12	12
9	19/05/14	Meeting with Principal Govt. Hr. Sec. School Seikhazou	Seikhazou Kohima	Document the communication need of students on WATSAN and SWM	Documented the entry behavior of the students of different income groups.	12	11
10	20/5/14	School sensitization program	Baptist High, Mission compound Kohima.	Document the communication need of students on WATSAN and SWM	Documented the entry behavior of the students of different income groups.	9	17
11	20/5/14	School sensitization program	G.Rio School High School Area Kohima.	Document the communication need of students on WATSAN and SWM	Documented the entry behavior of the students of different income groups.	12	12
12	22/05/14	Meeting with Director, Integrated Disease Surveillance Program (IDSP), DoH	Directorate Health Kohima.	Collecting Data on Water born/vector diseases related to formulation of pilot program in SWM	Data collected and to be plotted on Kohima Municipal Ward Map	2	3

xiv) Compliance status of loan covenants

22. The compliance status with respect to loan covenants is presented in table 4 below

Table 5. Status of Loan Covenants

SI No	Loan Covenant	Agency	Status of Compliance
1	GOI and the State will ensure that all land and right of way required for the investment program are made available in timely manner. Adequate compensations are provided prior to the signing of relevant civil work contracts, and involuntary resettlement is carried out in accordance with the resettlement frame work (RF) and resettlement plans (RPs) agreed upon between the Government and ADB. An RP will be prepared for each sub project involving land acquisition and resettlement and shall be submitted to ADB for review and approval prior to award of any related civil work contract.	Government of India & Government of Nagaland	No private land acquisition and resettlement issues are involved under any of the subprojects under the Investment Program. Hence RPs has not been prepared.
2	GOI and the State will ensure that sub projects will not negatively impact on vulnerable groups, such as indigenous people. In the event of their involvement in any of sub projects, the GOI and the states will ensure the that the sub projects are carried out in accordance with the Indigenous People Development frameworks (IPDF) agreed up on between GOI, the States and the ADB and comply with ADBs policy on Indigenous People (1998)	Government of India & Government of Nagaland	No negative Impact on vulnerable groups
3	In the event any issues related to indigenous people arise in course of project implementation, the borrower and the state shall ensure to address those issues in accordance with the applicable laws and policies of the borrower, ADB's policy on indigenous Peoples (1998) and the Indigenous Development Framework for the facility as agreed between the Borrower, the State and ADB. In the case of any discrepancy between the Borrower's legislation and ADB's policy, ADB's policy shall prevail.	Government of India & Government of Nagaland	Agreed. However, no issues related to indigenous people are anticipated in the course of execution.
4	GOI and State will ensure that all civil works contractors comply with all applicable labour laws, including those on occupational health and safety, and do not employ child labour as defined in national legislation for construction and maintenance	Government of India & Government of Nagaland	Adequate provision has been made in the contract agreements

SI No	Loan Covenant	Agency	Status of Compliance
	activities. Priority work will be given to people from the area where the works are implemented and men and women will receive equal pay for work of equal value.		
5	Within six months of the Effective Date, the State shall have established a grievance reporting and redressal mechanism	Government of Nagaland	Notification dated 18 th January 2013 is attached as Appendix -4

xv) Project Impact

23. The subprojects now being taken up in Kohima under the investment program in the sector of water supply & solid waste management as well as the efforts for the capacity building of Kohima Municipal Council will speed up the pace of the socio economic development of the City by substantially improving the urban environment and living standards of the citizens. The implementation of the subprojects will not create any major negative impact on community.

xvi) Policy and Legal Framework

24. The policy framework and entitlements for all the subprojects of the investment program under tranche 1&2 are based on the National Resettlement and Rehabilitation Policy, 2007 (NRRP) and ADB's Safeguard Policy Statement, (SPS) 2009.

xvii) Validation and Verifications of APs

25. Since the subprojects are falling in the 'C' category as per ADB Policy on Involuntary Resettlement (1995) for Tranche-1 and ADB Safeguards Policy Statement (2009) for Tranche-2, no RP was prepared.

xviii) Public Consultations and Disclosure of RP

26. Public consultation and information dissemination are treated as a two way process through which the information is passed on to public and their feedback is sought to understand their issues. The consultative process shall be continued throughout the project period. The activities conducted during the current reporting period is summarized in table.4

xix) Grievance Redressal

27. A Grievance Redressal Committee has been constituted as per the guideline of EMP. The same is attached as Appendix -4

xx) Monitoring and Reporting

28. As part of streamlining the collection and segregation of household solid wastes before commissioning the SW plant at Lerie, a pilot project has been developed and the same is under implementation at two wards of KMC, this activity plan shall be updated frequently based on the feedback from the field. The draft activity plan is attached as appendix -5. Gender sanitization activities and plan for inclusive (gender) development shall also be discussed throughout the program.

xxi) Issues and Action Plan

29. The general election taken place in India during the first quarter of the present reporting period delayed the progress of the activities of community participation & public awareness. However the activities shall be speeded up based on the action plan prepared.

APPENDICES

Appendix1: Snap shots of the meetings/FGDs.

	
<p align="center">Meeting Kigwema village Community members</p>	
	
<p>Meeting with Director, Integrated Disease Surveillance Program (IDSP), DoH</p>	<p>Orientation and sensitization of KMC officials on Gender issues and OHAS</p>
	
<p align="center">Meeting at construction site with labors</p>	
	
<p>Meeting with Principal Baptist High School, Kohima</p>	<p>Meeting with Principal Govt. Hr. Sec. School Seikhezou</p>



School sensitization program, Baptist High, Mission compound Kohima



School sensitization program, G.Rio School High School Area Kohima

Appendix2: Progress of Gender Action Plan implementation (Kohima)

Activity	Activities conducted in Kohima Municipal Corporation up to Jan, 2014	Activities Conducted During the period from 1 st January , 2014 to 30 th June 2014	Issues and Challenges
<p>OUTCOME: Sustainable and increased access to better urban services in five program cities viz: Water supply, Sewerage, & Solid waste management</p>			
<p>Activity: Awareness Programs Organize awareness campaigns on project components and its benefits (village level) ensure</p> <p>Focused Group Discussions (Gender Sensitization) Sensitization regarding gender issues is priority. The aspects include: 1. Identification of women’s needs and concerns; 2. Addressing women’s needs and , 3.Concerns in the sub project 4. Women involvement in project planning, implementation and operation & maintenance.</p> <p>Targets: Municipal council officials), Women NGOs including self-help groups, community members SIPMIU staff of state executing agency.</p>	<p>1. City level gender sensitization seminar was held on 6.10.12. Municipal council officials, Representatives of women’s NGOs, community members, SIPMIU) staff & contractors were sensitized.62 members participated. A report has been submitted.</p> <p>2. Orientation to civil contractors An orientation meeting on Gender Action Plan implementation to civil contractors was held on 19-11-12</p> <p>3. Subcommittee: A subcommittee to monitor Gender Action Plan was constituted.</p>	<p>Conducted sensitization programme for KMC officials on Gender issues on 04/04/2014.</p>	
<p>Outputs: Water Supply</p>			
<p>Output/Component: Understanding needs of women for provision of water supply point/stand posts, timings of water supply, need to conserve water, need to be proactive regarding reporting/repairs of leaks, wastages etc</p>			
<p>Activity: 1. Select an appropriate NGO or</p>		<p>Three community organizers are appointed by SIPMIU for two</p>	

Activity	Activities conducted in Kohima Municipal Corporation up to Jan, 2014	Activities Conducted During the period from 1 st January , 2014 to 30 th June 2014	Issues and Challenges
<p>social workers for implementation of gender related needs and actions for water supply.</p> <p>2. Divide the project zone into three parts viz. upper class areas, middle class areas and slum areas since their concerns and needs may be different.</p> <p>Targets: NGOs and social experts, social community of Kohima</p>		<p>wards to streamline the community development activities as well as gender development.</p> <p>An action plan is also developed to implement the program.</p>	
Output/Component: 1a. Understanding needs of women in poor areas			
<p>Activity: Conduct 10 PCM (5 with women groups and 5 with mix groups of women & men) with minimum 20 participants from each slum area to understand their needs including location for stand posts.</p> <p>Targets Poor areas of Kohima</p>		<p>Community Organizers are trained on inclusive development, particularly involving women in decision making. An action plan is also developed to implement the program.</p>	
Output/Component: 1b Understanding needs of women living in upper and middle class areas			
<p>Activity: Conduct 10 PCM (5 with women groups and 5 with mix group of women and men) with minimum 20 participants each of upper and middle class areas to understand their needs & concerns viz a viz, household connections, timings, pressure of supply etc</p> <p>Targets : Wards of upper and middle class</p>			

Activity	Activities conducted in Kohima Municipal Corporation up to Jan, 2014	Activities Conducted During the period from 1 st January , 2014 to 30 th June 2014	Issues and Challenges
Output/Component: Provision of water services to FHH (Female Headed Household) on priority. Prepare a disaggregated data base			
Activity: Survey of FHHs and preparation of list for giving priority to FHH in the NERUDP project Targets Local community covered under the project	Identification of Poor female-headed households (FHH) in progress by IDC.		
Output/Component: MIS on provision of water connections to FHH			
Activity: 1. Provision of water connections and meters to female-headed households with priority to poor FHHs in the city 2. Uploading data on website. Targets: All the female headed households, residing in areas covered under the project.	No action has been taken		
Output/Component: Improving repairs and maintenance and improving compliance with payment of user charges through people's participation			
Activity: Conduct five public consultation meetings with women groups , and five with mix groups of women and men (target: 50% women) on timing and duration of water supply, water tariff and method of collection, responsibility of repair and maintenance. Targets : Communities of the project area	No action has been taken	Community Organizers are trained on inclusive development, particularly involving women in decision making. An action plan is also developed to implement the program.	
Output/Component: Equal employment opportunities for women			
Activity: Tender conditions have	Done		

Activity	Activities conducted in Kohima Municipal Corporation up to Jan, 2014	Activities Conducted During the period from 1 st January , 2014 to 30 th June 2014	Issues and Challenges
<p>been incorporated in the contract agreement of the contractor for emphasis on employment for women with equal pay</p> <p>Targets Women who work as labourers on the site</p>			
Output/Component: MIS regarding employment of women in the project			
<p>Activity: Employment of women in the construction of pipelines, plants, etc., with implementation of 'same pay for same work.'</p> <p>Notices to be put up at-least 6 locations publicizing equal pay for equal work policy. (e.g. work site, at the notice board of ULB/UDD, SIPMIU office and local employment exchange</p> <p>Targets: Local women of Kohima, MIS experts</p>	<p>Meetings, training programs and orientation workshops were arranged</p>		<p>Limited response from women labours</p>
Output/Component: Employment of woman for collection of fees, laying & execution of pipeline, construction of reservoirs, distribution of water			
<p>Activity: 1. conduct training for women on collection of fees and execution of work 2. Give responsibility to the trained women's group for collection of fees and execution of work</p> <p>Targets Local women of Kohima</p>	<p>No action has been taken</p>		
OUTPUTS: SOLID WASTE MANAGEMENT			
Output/Component: Improved solid waste management systems through involvement of women by Understanding needs of women living			

Activity	Activities conducted in Kohima Municipal Corporation up to Jan, 2014	Activities Conducted During the period from 1 st January , 2014 to 30 th June 2014	Issues and Challenges
in upper, middle and lower class areas	on the manner and schedule of trash collection		
<p>Activity: Conduct 10 PCM (5 with women groups and 5 with mix group of women and men) with minimum 20 participants each of upper, middle and lower class areas to understand their needs & concerns</p> <p>Targets: Wards of upper, middle ,lower class in Kohima</p>	2 PCM were conducted	Community Organizers are trained on inclusive development, particularly involving women in decision making. More programs shall be arranged in coming months. An action plan is also developed to implement the program.	
Output/Component: Improved solid waste management systems through involvement of women by provision of bins			
<p>Activity: Prioritize FHHs in the provision of household bins</p> <p>Targets All the female headed households, residing in areas covered under the project</p>	Household survey for identification of FHH is under progress		
Output/Component: Make gainful use of recyclable materials			
<p>Activity: Conduct an exploratory study on possible livelihood opportunities from available recyclable materials and the market for possible products from these recycled material</p> <p>Targets: 1. Local population for understanding the skills and availability of recyclable materials 2. Local and regional market for marketing of the products.</p>		Focus group discussions and interaction with experts are in progress.	
Output/Component: Generate livelihood support through use of recyclable materials for poor women			
<p>Activity: 1. Conduct 15 days training program on alternative livelihoods for</p>	No action has been taken	Nil	

Activity	Activities conducted in Kohima Municipal Corporation up to Jan, 2014	Activities Conducted During the period from 1 st January , 2014 to 30 th June 2014	Issues and Challenges
<p>women using recyclable materials (e.g., peppier Mache, etc.) 2. Thereafter conduct refresher and problem solving workshops every three months over a period of one year.</p> <p>Targets 1. Rag picker women , 2.poor women from indigenous communities</p>			
Output/Component: Develop basic facilities for women at landfill site			
<p>Activity: 1. Install a tap for drinking water at landfill site where majority women and children interact 2. construct a small washing place where women can take bath after working at the landfill site 3. Construct a toilet for women who work at the landfill site and ensure the toilet remains clean Targets: Rag picker women at the landfill site</p>	No action has been taken		
Output/Component: Create favourable environment for women who work for solid waste collection			
<p>Activity: 1. Provide light weight containers for women for door to door collection of waste 2. Provide suitable hand barrows to women sweepers for collection of waste. Targets: women solid waste collectors</p>	No action has been taken		

Appendix3: Notification of Grievance Redressal Committee

STATE INVESTMENT PROGRAMME MANAGEMENT AND IMPLEMENTATION UNIT
ASIAN DEVELOPMENT BANK (ADB) – ASSISTED PROJECT
KOHIMA : NAGALAND

NOTIFICATION

Dated Kohima, the 18th January 2013

NO.SIPMIU/SCL/CP/2011:: In pursuance to Asian Development Bank (ADB) guidelines; the Independent Grievance Redress Committee (IGRC) is hereby constituted to address the grievances of persons affected due to the sub-projects implemented in Kohima under the ADB assisted North Eastern Region Capital Cities Development Investment Program.

- | | | |
|--|---|------------------|
| i. Program Director, SIPMIU | : | Chairman |
| ii. Addl. Program Director, SIPMIU | : | Member Secretary |
| iii. Dy. Program Director/ Environment Officer, SIPMIU | : | Member |
| iv. Sociologist, SIPMIU | : | Member |
| v. Assistant Engineer, SIPMIU | : | Member |
| vi. Team Leader, DSMC | : | Member |
- The IGRC shall determine the merit of each grievance and resolve the grievance/s within 10 (ten) days of receiving the complaint.
 - Grievances not redressed by the IGRC will be referred to the appropriate court of law.
 - All costs involved in resolving the grievances shall be borne by SIPMIU.
 - The Design Supervision & Management Consultants shall keep records of all grievances received including contact details of complainant, date the complaint was received, nature of grievance, agreed corrective actions and the date of redressing the grievance and the final outcome.

Sd/-
K. HARALU
Program Director

NO.SIPMIU/SCL/CP/2011
Copy to:

Dated Kohima, the 18th January 2013

- The Commissioner & Secretary, Urban Development Department, Nagaland, Kohima for information.
- The Director, Urban Development, Nagaland, Kohima for information.
- The Chief Engineer, PHE, Nagaland, Kohima for information.
- The CEO, Kohima Municipal Council, Kohima, Nagaland for information.
- All the members
- Office copy


(NELL VASA)
Dy. Program Director

Appendix4: Action Plan for Community Development

S.No	Proposed Activity	Rationale	Participants/Responsibility	Remarks
A	Deployment of Community Organizes 's,	Deployment of CO's, for day to day contact with sanitation committee, colony chairman, ASHA/ Anganwadi worker, general community and reporting to Sociologist, SIPMU	CO-02 to 03	Deployment of two persons for two month on temporary basis
B	Training of Community Organizer	Orientation and sensitization of mobilization techniques, communication and PRA tools	For the staff mentioned above	03 days session by SIPMIU /DSMC experts.
C	Ward Level consultation and disclosure Workshop	Dissemination of project plan, activities and appeal for community participation	WARD members=2 Religious leaders=4 PHED=01 KMC= 01, DoH=01 School principal=04 ICDS= 04, SIPMU= 1 DSMC=2, Local Media=5	Half day event Co-hosted by KMC - preferably in presence of DEO,CMO, PHED, Lower-Chandmari, Porter lane & Old Minister Hill area. Venue-Panchayat Hall
D	Installing the PIS on SWM van	Will be instrumental in disseminating the message regarding SWM segregation at household level	COs - 03 To 5 days prior to activity (A Regular monthly activity)	1-Will be done by the CO's on 02 SWM-KMC Vans 2-Announcement through PIS fixed on SWM vehicles
E	Provision to carry the segregated waste to dumping/processing site .	For carrying the segregated waste properly up to final disposal site	WARD members=2 Religious leaders/ PHED/KMC//School principal/ICDS/SIPMU/ DSMC/Local Media	On pilot basis in Lower-Chandmari, Porter lane & Old Minister Hill area.
F	Meeting With youth groups	Will be for the youth already involve in collection of tipping charges in colony/ward level	Cos & Sociologist SIPMIU	Activity need to be co-hosted by KMC officials
G	Ward level Rallies for Students s	Will be instrumental in sensitizing the students through a transect walk in few lanes of the colony/ward for SWM proper disposal and segregation		To sensitize the residents

S.No	Proposed Activity	Rationale	Participants/Responsibility	Remarks
H	School WATSAN Campaign	Information dissemination on sanitation and environmental education	Starting of WATSAN campaign in the schools on as and when required basis by DSMC/SIPMU engineers/COs.	
I	Site Visit by Community Leaders	Will ensure participation of elders /retired persons /other key decision makers of the colony/ward to conduct surveillance in their respective colony/ward and create awareness for household level segregation	Twice in a Month	
J	Display of suitable Hoardings/Flex banners/Wall writings	At 04 locations in ward	Community	As per the suggestion of KMC /Ward /Sanitation Committee
K	Testing of water quality of wells and other water sources using by the communities	Quarterly basis, Institutional testing once and later H ₂ S vial testing 03 times in a year	Sanitation committee	
L	School Drawing Competitions(03 categories)	Covering representation of students of the schools situated in the ward selected for the pilot project in three age categories	Monthly basis three in each colony	
M	IEC documentary film making (25 minutes and 5 of 05 minutes each)	As a master documents to portray the present scenario along with visualization of model practices to be adopted	Community	To be initiated as a process documentation of the pilot event
N	Designing and Printing of Pamphlets- 1000 no	General information of the programme		To be developed with the Assistance of SIPMIU /DSMC Experts